

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Kersten M. SMALL and Stephen B. LIGGETTApplication No./Patent No. 10/527,263 Filed/Issue Date: January 11, 2006Entitled: HEART FAILURE ASSESSMENT BASED ON ALPHA-2C ADRENERGIC RECEPTOR POLYMORPHISMSUniversity of Cincinnati, a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017703, Frame 0494, or for which a copy thereof is attached.

**OR**

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being submitted for recordation pursuant to 37 CFR 3.11.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

 \_\_\_\_\_  
Signature

February 5, 2010  
\_\_\_\_\_  
Date

Gina N. Shishima, Reg. No. 45,104  
\_\_\_\_\_  
Printed or Typed Name

(512) 474-5201  
\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Title

ARCA:036US  
\_\_\_\_\_  
File Code